

17648 U.S. PTO



100203

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

3858-008-27

First Inventor or Application Identifier

Richard J. ROBY

Title

METHOD AND APPARATUS FOR INDICATING ACTIVATION OF A SMOKE  
DETECTOR ALARM

17648 U.S. PTO

10/676779



100203

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
2. ☒ Specification Total Pages
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets
4. ☐ Oath or Declaration Total Pages
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with box 16 completed)
5. ☐ Incorporation By Reference (usable if box 4B is checked)  
The entire disclosure of the prior application, from which a copy of the  
oath or declaration is supplied under Box 4B, is considered to be part  
of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

## ACCOMPANYING DOCUMENTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
13. ☐ Request for Priority
14. ☒ List of Inventors' Names and Addresses
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

17. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on

☒ This application claims priority of provisional application Serial No. 60/415,127 Filed October 2, 2002.

## 18. CORRESPONDENCE ADDRESS

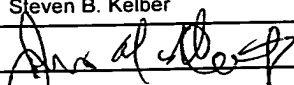
 Supervisor, Patent Prosecution Services  
 PIPER RUDNICK LLP  
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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	October 2, 2003
Name	James M. Heintz	Registration No.	41,828
		Telephone	202-861-3900

<b>FEE TRANSMITTAL</b>						Docket No.		3858-008-27	
						Serial No.		New Application	
						Filing Date		Herewith	
						Inventor(s)		Richard J. ROBY, et al.	
						Group Art Unit			
TOTAL AMOUNT OF PAYMENT				\$576.00		Examiner			

1. <input checked="" type="checkbox"/> Applicant claims small entity status. <input type="checkbox"/> Charge any <b>UNDERPAYMENT</b> or credit any <b>OVERPAYMENT</b> in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.						<b>FEE CALCULATION (continued)</b>									
						<b>3. ADDITIONAL FEES</b>									
						Large Entity		Small Entity		Fee Description					
						Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid				
<b>FEE CALCULATION</b>						1051	130	2051	65	Surcharge-late filing fee or oath	65.00				
<b>1. BASIC FILING FEE</b>						1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet					
Large Entity		Small Entity		Fee Description		1053	130	1053	130	Non-English Specification					
Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid	1251	110	2251	55	1-mo. ext. of time					
1001	770	2001	385	Utility filing fee	385.00	1252	420	2252	210	2-mo. ext. of time					
1002	340	2002	170	Design filing fee		1253	950	2253	475	3-mo. ext. of time					
1003	520	2003	260	Plant filing fee		1254	1480	2254	740	4-mo. ext. of time					
1004	770	2004	385	Reissue filing fee		1255	2010	2255	1005	5-mo. ext. of time					
1005	160	2005	80	Provisional filing fee		1401	330	2401	165	Notice of Appeal					
<b>SUBTOTAL (1)</b>					<b>\$385.00</b>	1402	330	2402	165	Appeal Brief					
<b>2. EXTRA CLAIM FEES</b>						1403	290	2403	145	Request for Oral Hearing					
tot. claims	34	-	20*	=	14	x	\$9	=	126	1501	1330	2501	665	Utility/Reissue Issue Fee	
ind. claims	3	-	3*	=	0	x	\$43	=	0	1502	480	2502	240	Design Issue Fee	
<input type="checkbox"/> Multiple Dependent Claims					\$145	=				1504	300	1504	300	Publication Fee	
Large Entity		Small Entity		Fee Description		8001	3	8001	3	Advance Copy of Patent					
Fee Code	Fee (\$)	Fee Code	Fee (\$)			1460	130	1460	130	Petitions to the Commissioner					
1202	18	2202	9	Claims in excess of 20		1806	180	1806	180	IDS Submission					
1201	86	2201	43	Independent claims in excess of 3		8021	40	8021	40	Assignment recordation					
1203	290	2203	145	Multiple dependent claim, if not paid		1801	770	2801	385	For Filing RCE					
1204	84	2204	43	*Reissue independent claims over original patent		1814	110	2814	55	Terminal Disclaimer					
1205	18	2205	9	*Reissue claims in excess of 20 and over original patent		OTHER (indicate below):									
<b>SUBTOTAL (2)</b>					<b>\$126.00</b>										
* or number previously paid, if greater; For Reissues, see above						<b>SUBTOTAL (3)</b>						<b>\$65.00</b>			

Name		Steven B. Kelber		Registration No.		30,073	
Signature				Date	October 2, 2003	Telephone	202-861-3900
Name		James M. Heintz		Registration No.		41,828	

DOCKET NO. 3858-008-27

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Richard J. ROBY, et al.

ART UNIT:

SERIAL NO.: New Application

EXAMINER:

FILING DATE: Herewith

FOR: METHOD AND APPARATUS FOR INDICATING ACTIVATION OF A  
SMOKE DETECTOR ALARM

**LIST OF INVENTORS' NAMES AND ADDRESSES**

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SIR:

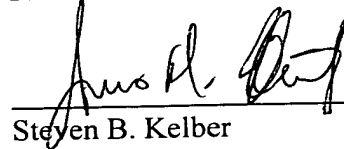
Listed below are the names and addresses of the inventors for the above-identified patent application.

- |   |  |
|---|--|
| 1) Richard J. Roby<br>8112 Sea Light Lane<br>Columbia, MD 21045   | 4) Diwakar Vashishat<br>19636 Twin Flower Circle<br>Germantown, MD 20876 |
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| 3) Christopher F. Schemel<br>333 Laurel Ave.<br>Laurel, MD 20707  | 6) Kelly R. Flint<br>7068 Hanover Parkway #2D<br>Greenbelt, MD 20770     |

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER RUDNICK LLP



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